CREDIT SUISSE HOLDINGS (AUSTRALIA) LIMITED
Level 31 Gateway
1 Macquarie Place
Sydney NSW 2000
P.O. Box R1820
Royal Exchange
Sydney NSW 1225
Australia

ACN 008 496 713
Phone 612 8205 4888
Fax 612 8205 4889
www.credit-suisse.com

16 November 2020

Alterity Therapeutics Limited (ATH)

RE: Withdrawal and Replacement of Notice as previously advised in Accordance with Section 671B of the Corporations Act.

Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse AG and its Affiliates) (Credit Suisse) advises the filing of the Cessation Shareholder Notice on 16 November 2020. However, the consideration has been incorrectly stated. Therefore, please refer to below:

Appendix A – Withdrawal Notice of 605

Appendix B – Notice of ceasing to be substantial shareholder 605

Yours sincerely,

Winston Loke

Appendix A – Withdrawal of Notification

(Document Number 2142666)

Form 605

Corporations Act 2001

Section 671B

Notice of ceasing to be a substantial holder

To Company Name/Scheme ALTERITY THERAPEUTICS LIMITED

ACN/ARSN ACN 080 699 065

1. Details of substantial holder (1)

Name Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)

ACN/ARSN (if applicable) 008 496 713

The holder ceased to be a substantial holder on 12-Nov-2020

The previous notice was given to the company on 13-Nov-2020

The previous notice was dated 13-Nov-2020

2. Changes in relevant interests

Particulars of each change in , or change in the nature of, a relevant interest (2) of the substantial holder or an associate (3)in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration given in relation to change (5)	Class (6) and number of securities affected	Person's votes affected
12-Nov-20	Credit Suisse Equities (Australia) Limited	Disposed on market	146,526.00 AUD	-146,526 Common Stock	-146,526
12-Nov-20	Credit Suisse Equities (Australia) Limited	Disposed on market	807,418.00 AUD	-807,418 Common Stock	-807,418
12-Nov-20	Credit Suisse Equities (Australia) Limited	Acquired on market	590,000.00 AUD	590,000 Common Stock	590,000
12-Nov-20	Credit Suisse AG, Dublin Branch	Stock returned under Master prime brokerage agreement	Refer to Annexure A of Notice	-757,482 Common Stock	-757,482
12-Nov-20	Credit Suisse AG, Dublin Branch	Stock returned under Master prime brokerage agreement	Refer to Annexure A of Notice	-1,264,759 Common Stock	-1,264,759

3. Changes in association

The persons who have become associates (3) of, ceased to be associates of, or have changed the nature of their association (7) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
N/A	

4. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Fourth Nominees Pty Limited (ACN 069 126 432)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse AG, Dublin Branch	Kilmore House, Park lane, Spencer Dock, Dublin 1, Ireland

Signature

print name	Winston Loke	capacity	Authorised Signatory
sign here		date	16-Nov-2020

Annexure "A"

This is Annexure "A" referred to in the Form 605 "Notice of ceasing to be a substantial holder"

Signature Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name	Winston Loke	capacity	Authorised Signatory
sign here		date	16-Nov-2020



Prescribed information

Schedule	
Type of agreement	Master Prime Brokerage Securities Lending Agreement
Parties to agreement	Credit Suisse AG, Dublin Branch and Atlantic Absolute Return Fund
Transfer date	12-Nov-2020
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.

APPENDIX B

Form 605

Corporations Act 2001

Section 671B

Notice of ceasing to be a substantial holder

To Company Name/Scheme: ALTERITY THERAPEUTICS LIMITED

ACN/ARSN: ACN 080 699 065

1. Details of substantial holder (1)

Name: Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)

ACN/ARSN (if applicable): 008 496 713

The holder ceased to be a substantial holder on: 12-Nov-2020

The previous notice was given to the company on: 13-Nov-2020

The previous notice was dated: 13-Nov-2020

2. Changes in relevant interests

Particulars of each change in , or change in the nature of, a relevant interest (2) of the substantial holder or an associate (3)in voting securities of the company or scheme, since the substantial holder was last required to give a substantial holding notice to the company or scheme are as follows:

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration given in relation to change (5)	Class (6) and number of securities affected	Person's votes affected
12-Nov-20	Credit Suisse Equities (Australia) Limited	Disposed on market	3,956.20 AUD	-146,526 Common Stock	-146,526
12-Nov-20	Credit Suisse Equities (Australia) Limited	Disposed on market	21,800.29 AUD	-807,418 Common Stock	-807,418
12-Nov-20	Credit Suisse Equities (Australia) Limited	Acquired on market	15,300.00 AUD	590,000 Common Stock	590,000
12-Nov-20	Credit Suisse AG, Dublin Branch	Stock returned under Master prime brokerage agreement	Refer to Annexure A of Notice	-757,482 Common Stock	-757,482
12-Nov-20	Credit Suisse AG, Dublin Branch	Stock returned under Master prime brokerage agreement	Refer to Annexure A of Notice	-1,264,759 Common Stock	-1,264,759

3. Changes in association

The persons who have become associates (3) of, ceased to be associates of, or have changed the nature of their association (7) with, the substantial holder in relation to voting interests in the company or scheme are as follows:

Name and ACN/ARSN (if applicable)	Nature of association

N/A	

4. Addresses

The addresses of persons named in this form are as follows:

Name	Address
Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Fourth Nominees Pty Limited (ACN 069 126 432)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse AG, Dublin Branch	Kilmore House, Park lane, Spencer Dock, Dublin 1, Ireland

Signature

print name	Winston Loke	capacity	Authorised Signatory
sign here		date	16-Nov-2020



Annexure "A"

This is Annexure "A" referred to in the Form 605 "Notice of ceasing to be a substantial holder"

Signature Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name	Winston Loke	capacity	Authorised Signatory
sign here		date	16-Nov-2020



Prescribed information	
Schedule	
Type of agreement	Master Prime Brokerage Securities Lending Agreement
Parties to agreement	Credit Suisse AG, Dublin Branch and Atlantic Absolute Return Fund
Transfer date	12-Nov-2020
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.